

Working Together to Support Adherence in Mental Health





# Shared Decision Making

Helping Create
a Strong Relationship
Between Mental
Health Providers
and Patients

A Resource for Providers

Frameworks resources are intended for informational purposes only and are intended for health care professionals who may choose to share them with their patients. They are not intended as, nor are they a substitute for, medical care, advice, or professional diagnosis. Health care professionals should use their independent medical judgement when considering Frameworks educational resources. Those seeking medical advice should consult with a health care professional. Frameworks resources are not intended as reimbursement or legal advice. You should seek independent, qualified professional advice to ensure that your organization is in compliance with the complex legal and regulatory requirements governing health care services, and that treatment decisions are made consistent with the applicable standards of care.

### **Shared Decision Making**

Shared decision making offers a systematic process and tools to help people who use mental health services and their providers<sup>1</sup>:

- Obtain, understand, and exchange complex care research and information
- Consider and discuss care options together
- Make a care decision

By combining transparent information and decision-making tools with a respectful, two-way conversation between patients and providers, shared decision making helps balance information about mental health conditions and treatment options with an individual's preferences, goals, and cultural values and beliefs.<sup>1</sup>



Recommended by the Institute of Medicine, **shared decision making is an emerging best practice in health care** and is consistent with empowerment, self-determination, and recovery.<sup>1</sup>

# 4 Steps of the Shared Decision Making Process<sup>1</sup>

#### 1. Establish a partnership

Individualized care decisions are made when patients have the right information and input from others. Providers can offer the information and support needed throughout the process.

#### 2. Exchange information

Patients should be encouraged to discuss their experiences, history, preferences, values, and cultural beliefs. Providers should be receptive to that information and describe treatment and service options in detail. Shared decision-making tools (eg, decision aids and resources) can support this step in the process.

#### 3. Weigh the options

Together, patients and providers evaluate the pros and cons of each option based on preferences, values, and cultural beliefs. Watchful waiting may be a viable choice.

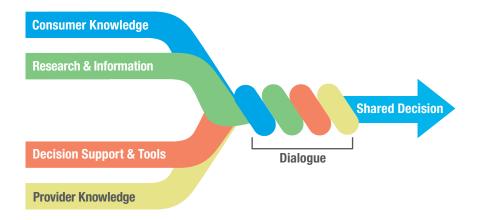
#### 4. Make a decision

The process of decision making is shared, but the final decision rests with the person using the services. However, both patients and providers can review and revise the decision, if needed.

### Shared decision making may help1:

- Make communication more productive and efficient
- Empower both patients and providers
- Promote person-centered care
- Enhance wellness





## **Incorporating Shared Decision Making**

Introducing shared decision making requires preparation and education of everyone involved: clinicians, patients, and other treatment team members. Both health care providers and patients need to understand how the process works, how it can help them, and how to participate in it. It may mean adjusting to new roles and new expectations.<sup>2</sup>

Aligned with the triple aim, shared decision making supports the patient-centered health care marketplace.<sup>3</sup>

- For patients, it means being heard by taking a more active role in treatment decision that fit and reflect their personal values, preferences, and goals.<sup>1</sup>
- For health care providers, shared decision making represents a value-based approach that may help increase patient satisfaction and care, and reduce costs and unneeded care, all while bolstering evidence-based medicine.<sup>3,4</sup>

Shared decision making is a process that begins with mutual education.<sup>5</sup>

# Potential benefits of shared decision making

Better communication, more effective treatment, greater treatment adherence, and less missed appointments are some of the goals that shared decision making aims to achieve.<sup>2</sup>

Successful patient engagement is important in mental health recovery and embraces the concepts of patient-centered care and therapeutic alliance.<sup>6</sup> As a patient engagement strategy, shared decision making can help enhance the patient-provider therapeutic alliance.<sup>7-9</sup>

- Studies indicate using shared decision making in mental health care can help improve patient<sup>3,10</sup>:
  - Satisfaction
  - Engagement in managing care
- Studies outside of the mental health arena have also illustrated that shared decision making may help:
  - Reduce costs<sup>3,11,12</sup>
  - Increase patient knowledge<sup>13</sup>
  - Foster accurate risk perceptions<sup>13</sup>
  - Lessen internal conflict about decisions<sup>13</sup>
  - Increase the likelihood that patients receive care aligned with their personal values<sup>13</sup>



There is momentum to advance shared decision making through national quality initiatives

- MACRA<sup>14</sup>
- ACA<sup>15</sup>
- CAHPS® Surveys16
- Individual state advocacy<sup>17</sup>



Engagement strategies can help support patient care.

Reference: 1. US Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Shared decision making: making recovery real in mental health. https://radarcart.boisestate.edu/radar/pdfs/file/General\_Issue\_Brief\_8\_10.pdf. Published 2010. Accessed September 8, 2017. 2. Mental Health America. You're on the team: help for providers. http://mentalhealthamerica.net/youre-team-help-providers. Published 2017. Accessed September 8, 2017. 3. Agency for Healthcare Research and Quality. The SHARE Approach: achieving patient-centered care with shared decision making: a brief for administrators and practice leaders (workshop curriculum: tool 9). https://www.ahrq.gov/professionals/education/curriculum-tools/ shareddecisionmaking/tools/tool-9/index.html. Published April 2014. Accessed September 8, 2017. 4. Shared Decision-Making in Mental Health Care: Practice, Research, and Future Directions. HHS Publication No. SMA-09-4371. Rockville, MD: Center for Mental Health Services, Substance Abuse and Mental Health Services Administration; 2010. 5. Mental Health America. You're on the team: shared decision making for providers. http://mentalhealthamerica.net/youreteam-shared-decision-making-providers. Published April 21, 2014. Accessed September 8, 2017. 6. National Alliance on Mental Illness. Engagement: A New Standard for Mental Health. Arlington, VA: National Alliance on Mental Illness; 2016. 7. Health policy brief: patient engagement. Health Affairs web site. http:// www.healthaffairs.org/healthpolicybriefs/brief.php?brief\_id=86. Published February 14, 2013. Accessed September 14, 2017. 8. Eliacin J, Salyers MP, Kukla M, Matthias MS. Patients' understanding of shared decision making in a mental health setting. Qual Health Res. 2015;25(5):668-678. 9. Matthias MS, Fukui S, Kukla M, et al. Consumer and relationship factors associated with shared decision making in mental health consultations. Psychiatr Serv. 2014;65(12):1488-1491. 10. Swanson KA, Bastani R, Rubenstein LV, Meredith LS, Ford DE. Effect of mental health care and shared decision making on patient satisfaction in a community sample of patients with depression. Med Care Res Rev. 2007;64(4):416-430. 11. Lee OE, Emanuel EJ. Shared decision making to improve care and reduce costs. N Engl J Med. 2013;368(1):6-8. 12. The Commonwealth Fund Commission on a High Performance Health System. Bending the Curve: Options for Achieving Savings and Improving Value in U.S. Health Spending. New York, NY: The Commonwealth Fund; 2007. 13. Stacey D, Légaré F, Lewis K, et al. Decision aids for people facing health treatment or screening decisions. Cochrane Database Syst Rev. 2017;4. doi: 10.1002/14651858.CD001431.pub5. 14. Pub L No. 114-10, 129 Stat 87. 15. HR 3590, 111th Cong, 2nd Sess (DC 2010). 16. Consumer Assessment of Healthcare Providers and Systems. CAHPS® survey for accountable care organizations (ACOs): participating in Medicare initiatives. http://acocahps.cms.gov/globalassets/aco---epi-2-new-site/pdfsfor-aco/survey-instruments/2017-aco-survey/english/2017\_aco-9\_mail\_survey\_english.pdf. Accessed October 23, 2017. 17. Shafir A, Rosenthal J. National Academy for State Health Policy. Shared Decision Making: Advancing Patient-Centered Care through State and Federal Implementation. Washington, DC: National Academy for State Health Policy; 2012.