



The PsychU Guide To The Texas Mental Health System



This resource is provided for informational purposes only and is not intended as reimbursement or legal advice.

You should seek independent, qualified professional advice to ensure that your organization is in compliance with the complex legal and regulatory requirements governing health care services, and that treatment decisions are made consistent with the applicable standards of care.

Except as otherwise indicated, the information provided is accurate to the best of Otsuka's knowledge as of December 2016.

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Appendix A: Sources

ACO = Accountable Care Organization

BH = Behavioral Health

FFS = Fee-For-Service

HHS = Texas Health & Human Services Commission

MCO = Managed Care Organization

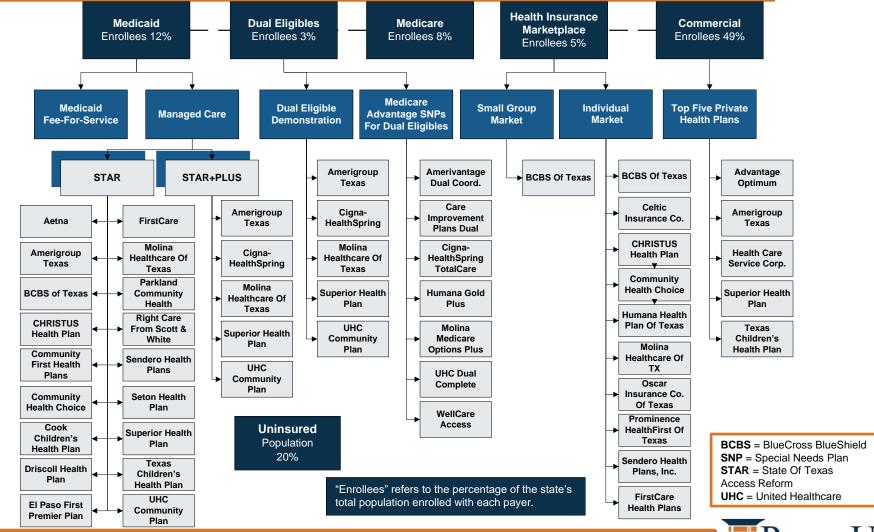
SMI = Serious Mental Illness



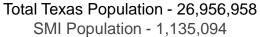


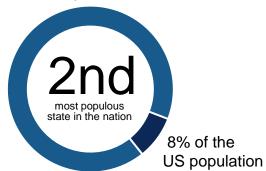
A. TEXAS HEALTH CARE MARKETPLACE OVERVIEW

Physical Health Care Coverage Map

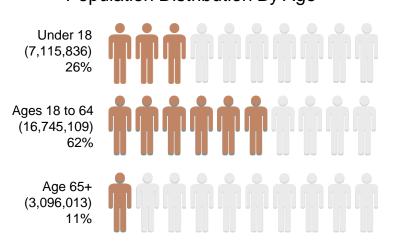


2. Population Demographics

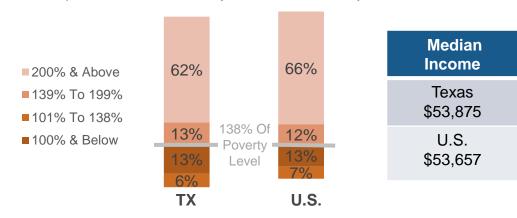




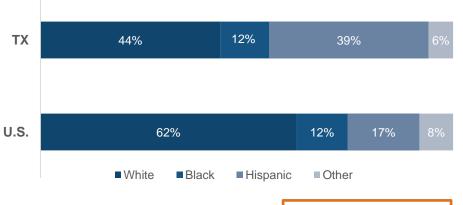
Population Distribution By Age



Population Distribution By Income To Poverty Threshold Ratio



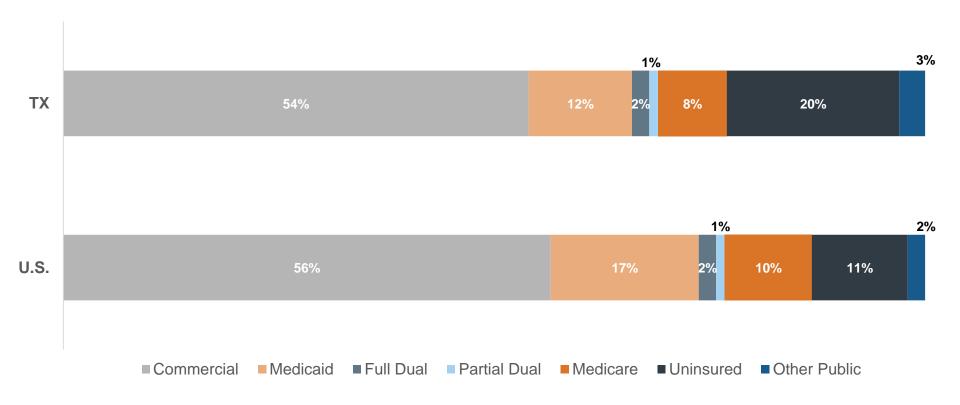
Texas & U.S. Racial Composition



SMI = Serious Mental Illness



3. Population Distribution By Payer: United States v. Texas



4. Largest Health Plans By Enrollment

Plan Name	Plan Type	Enrollment*
Medicare Fee-For-Service (FFS)	Medicare	2,331,807
Health Care Service Corporation	Commercial	1,631,506
TRICARE	Other Public	881,560
Superior	Medicaid Managed Care, STAR Program	705,927
Amerigroup Texas, Inc.	Commercial	687,393
Amerigroup	Medicaid Managed Care, STAR Program	570,555
Medicaid FFS	Medicaid	499,467
Superior Health Plan, Inc.	Commercial	454,968
Advantage Optimum	Commercial	454,322
Texas Children's Health Plan, Inc.	Commercial	387,897

^{*}Medicare as of August 2016; Medicaid enrollment as of March 2016; TRICARE as of December 2015; Commercial as of March 2016.



5. Largest Health Plans By Estimated Serious Mental Illness (SMI) Enrollment

Plan Name	Plan Type	Enrollment*	SMI Enrollment
Medicare Fee-For-Service (FFS)	Medicare	2,331,807	333,448
Superior	Medicaid STAR+PLUS (Adults With Disabilities)	144,005	50,402
TRICARE	Other Public	881,560	49,367
Amerigroup	Medicaid STAR+PLUS	137,116	47,991
UnitedHealthcare	Medicaid STAR+PLUS	114,714	40,150
Health Care Service Corporation	Commercial	1,631,506	38,667
Medicaid FFS	Medicaid Managed Care	499,467	31,466
Molina Healthcare Of Texas	Medicaid STAR+PLUS	89,316	31,261
UnitedHealthcare Benefits Of TX, Inc.	Medicare	211,331	30,220
Aetna Life Insurance Company	Medicare	139,311	19,921

^{*}Medicare as of August 2016; Medicaid enrollment as of March 2016; TRICARE as of December 2015; Commercial as of March 2016.

6. Health Insurance Marketplace Overview

Health Insurance Marketplace			
Type Of Marketplace	• Federal		
Individual Enrollment Contact	www.healthcare.gov1-800-318-2596		
Small Business Enrollment Contact	www.healthcare.gov/small-businesses1-800-706-7893		

7. Health Insurance Marketplace Plans

Individual Market Health Plans

- Blue Cross Blue Shield Of Texas
- Celtic Insurance Company
- CHRISTUS Health Plan
- · Community Health Choice, Inc.
- Humana Health Plan Of Texas, Inc.
- Molina Healthcare Of Texas, Inc.
- Oscar Insurance Company Of Texas
- Prominence HealthFirst Of Texas, Inc.
- Sendero Health Plans, Inc.
- SHA, LLC, dba, FirstCare Health Plans

Small Group Market Plans

Blue Cross Blue Shield Of Texas

DBA = Doing Business As

SHA = Southwest Health Alliances





B. TEXAS MEDICAID SYSTEM OVERVIEW

8. Delivery System, Risk, & Federal Participation

Medicaid Financial Delivery System				
Is Managed Care Mandatory For Populations With SMI?	Yes			
Is Managed Care Mandatory For Dual-Eligibles?	Yes			
Total Medicaid Population Distribution (November 2016 Estimate*)	8% in fee-for-service (FFS)92% in managed care			
Medicaid Beneficiaries With SMI: Primary Service System	Because the SMI population must enroll in managed care unless they meet one of the FFS qualifications, the majority of the SMI population is served by managed care			
Medicaid Financing & Risk Arrangements: Behavioral Health				
Traditional Behavioral Health Services	FFS Population: Covered FFS by the state			
Specialty Behavioral Health Services	Managed Care Population: Included in the MCO's capitation rate			
Pharmaceuticals	 FFS Population: Covered FFS by the state Managed Care Population: Included in the MCO's capitation rate 			
Federal Financial Participation				
FY 2017 Federal Medical Assistance Percentage (FMAP)	56.18%			
CY 2016 Newly Eligible FMAP (Expansion Population)	Not applicable			

*The Medicaid population distribution was estimated using March 2016 actual enrollment and the state's estimated enrollment for the State Of Texas Access Reform (STAR) Kids managed care program that launched in November 2016.

MCO = Managed Care Organization
SMI = Serious Mental Illness



9. Expansion Decision

State Medicaid Expansion Decision			
Participating In Expansion?			
Date Of Expansion	Not Applicable		
Medicaid Eligibility Income Limit For Able-Bodied Adults	18% federal poverty level (FPL) for parents; 0% FPL for childless adults		
Legislation Used To Expand Medicaid	Not Applicable		

10. Care Coordination Entities

Medicaid Care Coordination Entities For Chronic Care Populations (Including SMI)				
Managed Care Plan	✓	MCOs must submit to the state a value-based purchasing plan.		
Accountable Care Organization (ACO) Program		None		
Health Home		Plans for a behavioral health integrated health home pilot are in development.		
Medical Home	✓	Managed care primary care providers serve as medical homes.		
Primary Care Case Management (PCCM) Model	✓	The Texas Wellness Program is a PCCM program for FFS enrollees with chronic conditions.		

FFS = Fee-For-Service
MCO = Managed Care Organization
SMI = Serious Mental Illness

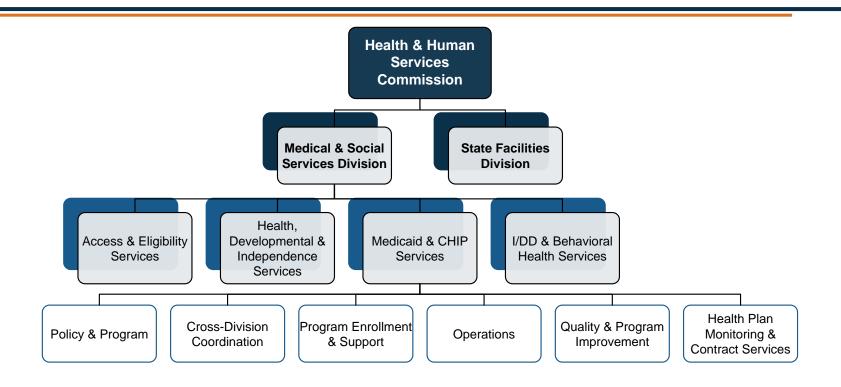


11. The Uninsured

State Agency Responsible For Uninsured Citizens & Delivery System Model					
Physical Health Services	The Texas Department Of State Health Services, Primary Care Office is responsible for providing physical health services to the safety-net population.				
Mental Health Services	The Division For Mental Health & Substance Abuse (MHSA) within the Department Of State Health Services provides mental health services to the safety-net population through service area contracts with 39 community mental health centers called Local Mental Health Authorities.				
Addiction Treatment Services	MHSA provides substance abuse treatment services to the safety-net population by funding provider organizations throughout the state. The intake organizations for safety-net substance abuse treatment services are called Outreach, Screening and Referral Centers (OSARs).				



12. Organizational Chart



CHIP = Children's Health Insurance Plan **I/DD** = Intellectual & Developmental Disability

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13. Key Leadership

Name	Position	Department	
Charles Smith	Executive Commissioner	Health & Human Services Commission	
Cecile Young	Chief Deputy Executive Commissioner	Health & Human Services Commission	
Gary Jessee	ry Jessee Deputy Executive Commissioner Medical & So		
Jami Snyder	Associate Commissioner, State Medicaid Director	Medical & Social Services Division	

14. Program Benefits

Federally Mandated Services

- Inpatient hospital services other than services in an institution for mental disease (IMD)
- Outpatient hospital services
- Rural Health Clinic services
- Federally Qualified Health Center (FQHC) services
- Laboratory and X-ray services
- Nursing facilities for individuals 21 and over
- Early & Periodic Screening & Diagnosis & Treatment (EPSDT)
- Family planning services and supplies for individuals of childbearing age
- Physician services
- Medical and surgical services of a dentist
- Home health services
- Nurse midwife services
- Nurse practitioner services
- · Pregnancy services, including tobacco cessation programs
- Free standing birth centers
- Non-emergency transportation to medical care

Texas's Optional Services

- Prescription drugs
- Other practitioners' services
- Podiatry
- Limited chiropractic services
- · Optometry, including eyeglasses
- Hearing instruments and related audiology
- Renal dialysis
- Physical, occupational, and speech therapy
- Clinic services
- Targeted case management for pregnant women
- Intermediate care facility services for intellectual and developmental disabilities (I/DD)
- Inpatient services for clients under age 21 or over age 65 in an institution for mental diseases
- Day activity and health services
- Targeted case management for individuals with intellectual disabilities and mental health conditions
- Hospice services





C. TEXAS MEDICAID FINANCING & SERVICE DELIVERY SYSTEM

15. Overview

Medicaid System Characteristics					
Characteristics	Medicaid Fee-For-Service (FFS)	Medicaid Managed Care			
November 2016 Enrollment*	307,330	3,756,011			
SMI Enrollment	Because the SMI population must enroll in managed care unless they meet one of the FFS qualifications, the majority of the SMI population is served by managed care.				
Management	Health & Human Services Commission	 STAR: 18 MCOs STAR+PLUS: 5 MCOs STAR Kids: 10 MCOs STAR Health: 1 MCO 			
Payment Model	Fee-for-service	All: Full-risk capitation			
Geographic Service Area	Statewide	1-3. Statewide, with plans available by region4. Statewide			

Total Medicaid Beneficiaries: 4,063,341 | Total Medicaid With SMI Beneficiaries: 255,990

*The Medicaid population distribution was estimated using March 2016 actual enrollment and the state's estimated enrollment for the STAR Kids managed care program that launched in November 2016.

MCO = Managed Care Organization SMI = Serious Mental Illness STAR = State Of Texas Access Reform



16. Enrollment By Eligibility Group

Mandatory Fee-For-Service (FFS) Enrollment

- Persons enrolling as medically needy
- 2. Women in the Medicaid Breast and Cervical Cancer Program
- Residents of state supported living centers
- 4. Persons in alien status eligible for emergency medical services only
- Individuals residing in state veterans homes
- 6. Children receiving adoption assistance

Option To Enroll In FFS Or Managed Care

- 1. Children in foster care
- 2. Native Americans/Alaskan Natives

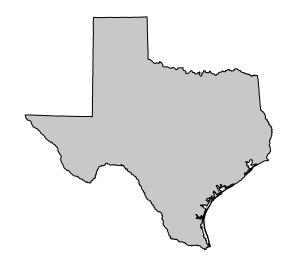
Mandatory Managed Care Enrollment

- 1. Children
- 2. Adults
- Aged, blind, and disabled population
- 4. Dual eligibles



17. Fee-For-Service (FFS) Program: Program Overview

- FFS enrollment as of March 2016 was 499,467.
- The state contracts with Texas Medicaid & Healthcare Partnership (TMHP) under the leadership of Accenture to serve as the administrative services organization (ASO) for the Medicaid program.
- TMHP enrolls physicians and processes claims for the FFS program, and manages data operations for both the FFS and managed care programs.



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18. Fee-For-Service (FFS) Program: Behavioral Health Benefits

- Behavioral Health services are provided FFS by the state.
- The serious mental illness (SMI) population is eligible for additional benefits, including targeted case management and rehabilitative services, through Local Mental Health Authorities (LMHAs), which are also delivered FFS.

FFS Mental Health Benefits

- Outpatient services
- 2. Inpatient services
- 3. Court-ordered services
- 4. Electroconvulsive therapy
- 5. Pharmacological management
- 6. Evaluations and testing
- Individual, group, and family psychotherapy and counseling

Benefits Provided By LMHAs To Persons With SMI

- Rehabilitative services:
 - Day program
 - Medication training and support
 - Crisis intervention
 - Skills training and development
 - Psychosocial rehabilitative services
- 2. Targeted case management

FFS Substance Abuse Treatment Benefits

- Screening, brief intervention, and referral to treatment (SBIRT)
- Assessment
- 3. Inpatient, residential, and ambulatory detoxification
- 4. Residential treatment
- 5. Ambulatory treatment
- 6. Medication assisted therapy
- 7. Opioid treatment program



19. Fee-For-Service (FFS) Program: Serious Mental Illness (SMI) Population

- The SMI population is mandatorily enrolled in managed care unless they meet FFS criteria for exemption.
- Persons with SMI are eligible to receive targeted case management and rehabilitative services through local mental health authorities.



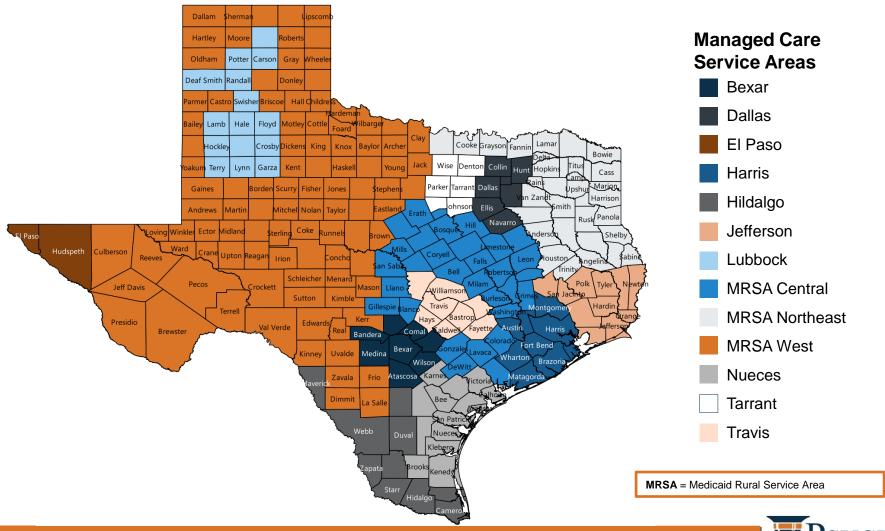


20. Managed Care Program: Program Overview

- Managed care enrollment as of March 2016 was 3,563,874.
- Texas delivers Medicaid benefits through four managed care programs:
 - State Of Texas Access Reform (STAR) is the comprehensive managed care program for low-income children and families.
 - STAR+PLUS delivers acute care services and long-term services and supports (LTSS) to persons with disabilities and those over 65 years old.
 - STAR Kids is a new program, launched in November 2016, for persons with disabilities under age 21.
 - STAR Health is the managed care is the comprehensive managed care program for children and young adults in foster care.
- In all four managed care programs, primary care physicians (PCP) coordinate care and act as medical homes.
- The STAR, STAR+PLUS, and STAR Kids programs operate statewide, with plans available regionally in 13 service areas.
- STAR Health is a voluntary statewide program, served by a single managed care plan Superior (Centene). It had a total enrollment of 30,912 as of March 2016.



21. Managed Care Program: Service Areas



22. Managed Care Program: STAR Program

- The majority of the Medicaid population is mandatorily enrolled in the STAR Program. STAR enrollment as of March 2016 was 2,950,659.
- The STAR Program provides physical health, behavioral health, and pharmacy services for pregnant women, newborns, and children with limited income.
- The STAR Program operates statewide. There are 18 STAR managed care plans available regionally in 13 service delivery areas.
- There are at least two STAR plans available in each region, and enrollees may choose between them.



23. Managed Care Program: STAR+PLUS Program

- STAR+PLUS delivers physical health, behavioral health, and long-term services and supports (LTSS) to persons with disabilities and those over 65 years of age.
 - STAR+PLUS enrollment as of March 2016 was 535,907.
- To qualify for the STAR+PLUS Program, a Medicaid beneficiary must meet at least one of the following criteria:
 - Age 21 of older, receiving Supplemental Security Income (SSI) benefits, and eligible for Medicaid due to income
 - Not receiving SSI, but eligible for STAR+PLUS Home- and Community-Based Services (HCBS) waiver
 - Age 21 or older, receiving Medicaid through what are called "Social Security Exclusion Programs," and meeting program rules for income and asset levels
 - Age 21 or older, residing in a nursing home and receiving Medicaid while in the nursing home
- The STAR+PLUS Program operates statewide with a total of five managed care organizations (MCOs) available regionally by service area. STAR+PLUS Medicaid members can select from at least two MCOs in each region.
- The STAR+PLUS HCBS Waiver provides additional LTSS to clients who are elderly or who have disabilities as a cost-effective alternative to living in a nursing facility. These services are nontraditional LTSS such as nursing, personal assistance services, adaptive aids, medical supplies, and minor home modifications to make members' homes more accessible.



24. Managed Care Program: STAR Kids Program

- STAR Kids is a new mandatory managed care program, launched in November 2016, for persons with disabilities under age 21. The state expects 192,137 individuals to be eligible for the program.
- Children and young adults under the age of 21 who receive Supplemental Security Income (SSI) and those served by the following home- and community-based services (HCBS) programs are required to enroll:
 - Medically Dependent Children Program (MDCP)
 - Home- and Community-Based Services (HCBS)
 - Community Living Assistance and Supports Services (CLASS)
 - Deaf Blind with Multiple Disabilities (DBMD)
 - Texas Home Living (TxHmL)
 - Youth Empowerment Services (YES)
- Children and young adults enrolled in the other listed HCBS waiver programs will receive acute care services and service coordination through their STAR Kids managed care organization (MCO), but will receive LTSS specific to their HCBS waiver through their waiver service providers.
- The STAR Kids Program operates statewide with a total of ten MCOs available regionally by service area. STAR Kids Medicaid members can select from at least two MCOs in each area.



25. Managed Care Program: Behavioral Health Overview

- Mental health and substance abuse treatment services are included in capitation and are the responsibility of the MCOs.
- Special targeted case management and psychiatric rehabilitative services for the SMI population are usually the responsibility of the MCOs.
- When funded by a criminal justice agency, targeted case management and psychiatric rehabilitative services are not the responsibility of the MCOs, but instead are covered FFS by the state.



FFS = Fee-For-Service
MCO = Managed Care Organization
SMI = Serious Mental Illness



26. Managed Care Program: Behavioral Health Benefits

Mental Health Benefits Provided By MCOs

- Outpatient services
- 2. Inpatient services
- 3. Court-ordered services
- 4. Electroconvulsive therapy
- 5. Pharmacological management
- 6. Evaluations and testing
- Individual, group, and family psychotherapy and counseling

Mental Health Benefits Provided By MCOs To Persons With SMI*

- 1. Rehabilitative services:
 - Day program
 - Medication training and support
 - Crisis intervention
 - · Skills training and development
 - · Psychosocial rehabilitative services
- 2. Targeted case management

Substance Abuse Treatment Benefits Provided By MCOs

- Screening, brief intervention, and referral to treatment (SBIRT)
- 2. Assessment
- 3. Inpatient, residential, and ambulatory detoxification
- Residential treatment
- 5. Ambulatory treatment
- 6. Medication assisted therapy
- 7. Opioid treatment program

*When funded by a criminal justice agency, targeted case managed and psychiatric rehabilitative services are not the responsibility of the MCOs, but instead are covered FFS by the state.

FFS = Fee-For-Service
MCO = Managed Care Organization
SMI = Serious Mental Illness



27. Managed Care Program: Serious Mental Illness (SMI) Population

- The SMI population is mandatorily enrolled in managed care unless they meet FFS criteria for exemption.
- Targeted case management services and rehabilitative services, which are specially available to persons with SMI only, are included in the MCO's capitation rate.

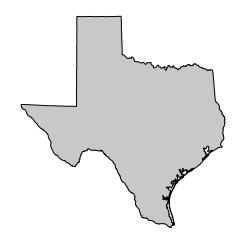


FFS = Fee-For-Service
MCO = Managed Care Organization



28. Care Coordination Initiatives

- In 2013, Senate Bill 58 charged the Texas
 Health & Human Services commission with
 creating a health home pilot programs for
 individuals with serious mental illness and
 other chronic conditions.
- A 2015 report of the Behavioral Health Advisory Committee recommended proceeding with the creation of behavioral health integrated health homes.
- The Health & Human Services Commission has formed a work group to develop a health homes plan.



29. Program Waivers Impacting The Serious Mental Illness (SMI) Population

Waiver Title	Waiver Description	Waiver Type	2017 Enrollment Cap	Effective Date	Expiration Date
Texas Healthcare Transformation & Quality Improvement Program	Expanded STAR and STAR+PLUS statewide, and used the savings to finance an uncompensated care pool and delivery system reform incentive pool.	1115	None	12/12/11	12/31/17
Texas Medicaid Wellness Program (TX-22)	Authorizes selective contracting for a voluntary primary care case management system for persons with chronic conditions who receive services through the FFS system.	1915 (b)	12,000	04/04/16	03/31/18

FFS = Fee-For-Service STAR = State Of Texas Access Reform



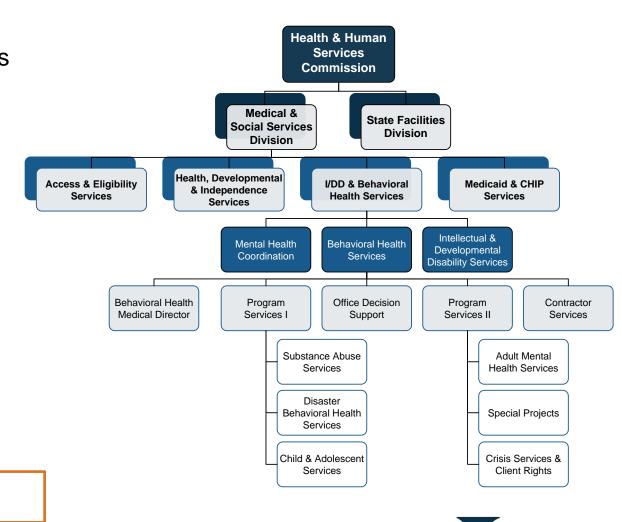


D. TEXAS BEHAVIORAL HEALTH ADMINISTRATION & FINANCE SYSTEM

30. HHS Organizational Chart

- Mental health and substance abuse programs under the purview of the Department Of State Health Services were transferred to the Health & Human Services Commission effective September 1, 2016. State hospitals remain the responsibility of the Department Of State Health services.
- This chart reflects the new structure.

CHIP = Children's Health Insurance Program
HHS = Texas Health & Human Services Commission
I/DD = Intellectual & Developmental Disability



31. HHS Key Leadership

Name	Position	Department
Charles Smith	Executive Commissioner	Health & Human Services Commission
Cecile Young	Chief Deputy Executive Commissioner	Health & Human Services Commission
Gary Jessee	Deputy Executive Commissioner	Medical & Social Services Division
Sonja Gaines	Associate Commissioner, Behavioral Health & I/DD Services	Medical & Social Services Division
Lauren Lacefield-Lewis	Assistant Commissioner, Behavioral Health Services	Medical & Social Services Division
Nina Muse, M.D.	Behavioral Health Medical Director	Medical & Social Services Division
Mary Sowder	Section Director, Program I Services	Medical & Social Services Division
Trina Ita	Section Director, Program II Services	Medical & Social Services Division

HHS = Texas Health & Human Services Commission **I/DD** = Intellectual & Developmental Disability



32. State Psychiatric Institutions

Institution	Location	Type Of Services	Beds	FY 2015 Admissions	FY 2015 Discharges	2015 Average Daily Census
Austin State Hospital	Austin	Civil	299	1,792	1,778	258
Big Spring State Hospital	Big Spring	Forensic / Civil	200	501	500	180
El Paso Psychiatric Center	El Paso	Civil	74	887	885	66
Kerrville State Hospital	Kerrville	Forensic	202	70	67	196
North Texas State Hospital	Vernon, Wichita Falls	Forensic / Civil	640	1,784	1,779	566
Rio Grande State Center	Harlingen	Forensic / Civil	55	748	752	52
Rusk State Hospital	Rusk	Forensic / Civil	325	637	667	313
San Antonio State Hospital	San Antonio	Civil	302	1,485	1,474	268
Terrell State Hospital	Terrell	Civil	288	2,082	2,070	246
Total			2,385	9,986	9,972	2,145

33. Accountable Care Organizations (ACOs)

Medicare Shared Savings Program

- Accountable Care Coalition Of North Texas, LLC
- Accountable Care Coalition Of Texas, Inc.
- 3. ACO Providers, Inc.
- 4. Amarillo Legacy Medical ACO
- Baylor Scott & White Quality Alliance
- 6. BHS Accountable Care, LLC
- Buena Vida Y Salud, LLC
- Care4Texans
- 9. CAREcovenant
- 10. CHI St Luke's Health Network, LLC
- 11. CHI St. Joseph Health Partners ACO
- 12. CHRISTUS Health Quality Care Alliance
- 13. CHRISTUS Santa Rosa Quality Care Alliance, LLC
- 14. Covenant ACO, Inc.

- 15. Essential Care Partners
- 16. Genesis Accountable Physician Network, LLC
- 17. Guadalupe Healthcare Network ACO, LLC
- Integrated ACO, LLC
- 19. Medical Clinic Of North Texas, PLLC
- 20. Memorial Hermann Accountable Care Organization
- 21. Methodist Patient-Centered Accountable Care Organization
- 22. Premier Patient Healthcare, LLC
- 23. Seton Accountable Care Organization, Inc.
- 24. SW Provider Partners, LLC
- 25. UPSA ACO
- 26. UT Southwestern Accountable Care Network

ACO Invest mental Model	Advance Payment Model	Next Generation Model
 Alliance ACO, LLC Sunshine ACO, LLC 	 Physicians ACO, LLC Rio Grande Valley ACO Rio Grande Valley Health Alliance, LLC Texoma ACO, LLC 	Accountable Care Coalition Of Southeast Texas, LLC

CHI = Catholic Health Initiatives

UPSA = United Physicians Of San Antonio

UT = University Of Texas



34. Commercial Accountable Care Organizations (ACOs)

ACO	Commercial Insurer		
Austin Regional Clinic	BCBSTXCigna		
Baptist Health System	• Aetna		
Baylor Scott & White Quality Alliance	• None		
Catalyst Health Network	• None		
CHI St. Joseph Health Partners ACO	• None		
Dallas Methodist Physicians Network	None		
Forward Health Partners	Children's HealthCook Children's		
Genesis Accountable Physician Network, LLC	Cigna HealthSpring		
HeathTexas Provider Network Collaborative Accountable Care	• None		
Kelsey-Seybold Collaborative Accountable Care	• None		
Medical Clinic Of North Texas, PLLC	• None		
Memorial Hermann ACO	• None		

ACO	Commercial Insurer
Memorial Hermann Health System	• None
North Texas CIN, Inc. (TXCIN)	• None
Patient Physician Network	• None
Seton Health Alliance, Inc.	• None
St. Luke's-Renaissance Collaborative Accountable Care	• Cigna
SW Provider Partners, LLC	• BCBSTX
Tenet Healthcare	• None
Texas Health Resources	Aetna
Texas Medical Association PracticeEdge, LLC	• None
Village Family Practice	• None
Village Health Partners	• None

BCBSTX = BlueCross BlueShield Of Texas **CHI** = Catholic Health Initiatives

CIN = Clinically Integrated Network





APPENDIX A: SOURCES

Sources (Page 1 Of 8)

A. Health Care Marketplace Overview

1. Physical Health Care Coverage Map

- United States Department of Health and Human Services. (2016, October 18). 2017 QHP Landscape Individual Market Medical. Retrieved November 3, 2016 from https://www.healthcare.gov/health-plan-information-2017/
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